

6-18-01

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Please type a plus sign (+) inside this box [+]

PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P11864First Named Inventor Jay H. ConnellyTitle: A METHOD AND APPARATUS FOR PERIODICALLY DELIVERING AN OPTIMAL BATCH BROADCAST SCHEDULE BASED ON DISTRIBUTED CLIENT FEEDBACKExpress Mail Label No. EL429890247US

ADDRESS TO: Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, D. C. 20231

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. X **Fee Transmittal Form (e.g., PTO/SB/17)**  
 (Submit an original, and a duplicate for fee processing)
2.        **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. X **Specification (Total Pages 90)**  
 (preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference sequence listing, a table,  
     or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. X **Drawings(s) (35 USC 113) (Total Sheets 21)**
5. X **Oath or Declaration (Total Pages 5)**
  - a. X Newly Executed (Original or Copy)
  - b.        Copy from a Prior Application (37 CFR 1.63(d))  
 (for Continuation/Divisional with Box 17 completed)
  - i.        **DELETIONS OF INVENTOR(S)** Signed statement attached deleting  
 inventor(s) named in the prior application, see 37 CFR 1.63(d)(2)  
 and 1.33(b).
  - c.        Unsigned.
6.        **Application Data Sheet. (37 CFR 1.76)**
7.        **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8.        **Nucleotide and/or Amino Acid Sequence Submission**  
 (if applicable, all necessary)
  - a.        Computer Readable Form (CRF)
  - b.        Specification Sequence Listing on:
    - i.        CD-ROM or CD-R (2 copies); or
    - ii.        paper
  - c.        Statement verifying identity of above copies

06/15/01

jc882 U.S. PTO

jc882 U.S. PTO  
09/882105

10/15/01

09882-105-001

[illegible]

- 17A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:**

(which is a      continuation/      divisional/      CIP of prior application no.                     ,  
which is a      continuation/      divisional/      CIP of prior application no.                     ) (List entire chain of priority)

**17B. Statement under 37 CFR 3.73(b) for continuing application:**

**18. Correspondence Address**

or

NAME R. Alan Burnett

ADDRESS 12400 Wilshire Boulevard

CITY	Los Angeles	STATE	California	ZIP CODE	90025-1026
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Name (PRINT/TYPE): R. Alan Burnett Registration No.: 46,149

11/07/00



**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Large Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee Code</u>	<u>Fee (\$)</u>	<u>Fee Code</u>	<u>Fee (\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	390	216	195	Extension for response within second month	
117	890	217	445	Extension for response within third month	
118	1,390	218	695	Extension for response within fourth month	
128	1,890	228	945	Extension for response within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	
141	1,240	241	620	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
Other fee (specify) _____					

**SUBTOTAL (3) \$ 40.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: R. Alan BurnettSignature: R. Alan Burnett Date: June 15, 2001Reg. Number: 46,149 Telephone Number: (425) 827-8600

Our Reference: 042390.P11864

Patent

A METHOD AND APPARATUS FOR PERIODICALLY DELIVERING AN OPTIMAL  
BATCH BROADCAST SCHEDULE BASED ON DISTRIBUTED CLIENT FEEDBACK

Inventors: Jay H. Connelly

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

*R Alan Burnett*

R. Alan Burnett  
Reg. No. 46,149

"Express Mail" mailing label number: EL429890247US  
Date of Deposit: June 15, 2001

I hereby certify that I am causing this paper or fee to be deposited with  
the United States Postal Service "Express Mail Post Office to Addressee"  
service on the date indicated above and that this paper or fee has been  
addressed to the Assistant Commissioner for Patents, Washington, D. C.  
20231

Jenny E. Miller

(Typed or printed name of person mailing paper or fee)

*Jenny E. Miller* 6-15-01  
(Signature of person mailing paper or fee) (Date signed)

Serial/Patent No.: not yet assigned Filing/Issue Date: herewith  
Client: Intel corporation  
Title: A METHOD AND APPARATUS FOR PERIODICALLY DELIVRING AN  
OPTIMAL BATCH BROADCAST SCHEDULE BASED ON DISTRIBUTED.....  
BSTZ File No.: 042390.P11864 Atty/Secty Initials: RAB/jem  
Date Mailed: June 15, 2001 Docket Due Date: \_\_\_\_\_  
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:  
☐ Amendment/Response (\_\_\_\_ pgs.) ☒ Express Mail No.: EL429890247US ☒ Check No. 1330  
☐ Appeal Brief (\_\_\_\_ pgs.) (in triplicate) ☐ \_\_\_\_\_ Month(s) Extension of Time Amt: \$1,478.00  
☒ Application - Utility 90 pgs., with cover and abstract ☐ Information Disclosure Statement & PTO 1449 (\_\_\_\_ pgs.) ☐ Check No. \_\_\_\_\_  
☐ Application - Rule 1.53(b) Continuation (\_\_\_\_ pgs.) ☐ Issue Fee Transmittal Amt: \_\_\_\_\_  
☐ Application - Rule 1.53(b) Divisional (\_\_\_\_ pgs.) ☐ Notice of Appeal  
☐ Application - Rule 1.53(b) CIP (\_\_\_\_ pgs.) ☐ Petition for Extension of Time  
☐ Application - Rule 1.53(d) CPA Transmittal (\_\_\_\_ pgs.) ☐ Petition for \_\_\_\_\_  
☐ Application - Design (\_\_\_\_ pgs.) ☒ Postcard  
☐ Application - PCT (\_\_\_\_ pgs.) ☐ Power of Attorney (\_\_\_\_ pgs.)  
☐ Application - Provisional (\_\_\_\_ pgs.) ☐ Preliminary Amendment (\_\_\_\_ pgs.)  
☒ Assignment and Cover Sheet ☐ Reply Brief (\_\_\_\_ pgs.)  
☒ Certificate of Mailing ☐ Response to Notice of Missing Parts  
☒ Declaration & POA (5 pgs.) ☐ Small Entity Declaration for Indep. Inventor/Small Business  
☐ Disclosure Docs & Orig & Copy of Inventor's Signed Letter (\_\_\_\_ pgs.) ☒ Transmittal Letter, in duplicate 2 pages each  
☒ Drawings: 21 # of sheets includes 29 figures ☒ Fee Transmittal, in duplicate 2 pages each  
☒ Other: Certificate of mailing with copy of return postcard  
signed by attorney